OCT 1 7 2002 Applicant:

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Philippa RADCLIFFE et al.

RECEIVED

Title:

TRANSGENIC ORGANISM

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Appl. No.:

10/082,122

FECH CENTER 1600 2900

Filing

02/26/2002

Date:

Examiner:

Unassigned

Art Unit:

1632

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56

Commissioner for Patents Washington, D.C. 20231

Sir:

Submitted herewith on Form PTO-1449 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56. A copy of each listed document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a prima facie art reference against the claims of the present application.



TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(b), before the mailing date of the first Office Action on the merits, and within three (3) months of the mailing date of the foreign search report.

RELEVANCE OF EACH DOCUMENT

All of the documents are in English.

Applicants respectfully request that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO-1449 be returned in accordance with MPEP §609.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

Date (+ 17, 7862

FOLEY & LARDNER

Customer Number: 22428

22428

ニムムサムO PATENT TRADEMARK OFFIC

Telephone: Facsimile:

(202) 672-5538 (202) 672-5399 By //) . L. - (, , ,) / . 6.

Michele M. Simkin Attorney for Applicant Registration No. 34,717

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	A4	Vectors", Molecular	Therapy Vol. 1, 1	lo. 1, January 2000, pp. 3	1-38.							
1		Julie C. Johnston e	t al. "Minimum Re	quirements for Efficient Tra	ansduction of Div	iding and No	ndividing (Cells by				
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Philippa RADCLIFFE et al.

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Appl. No.:

10/082,122

Filing Date:

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Examiner:

Unassigned

Art Unit:

1632

TECH CENTER 1800/2800 **INFORMATION DISCLOSURE STATEMENT** UNDER 37 CFR §1.56

Commissioner for Patents Washington, D.C. 20231

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FOLEY & LARDNER

Customer Number: 22428

Date H. M. 11, 7023

22428

PATENT TRADEMARK OFFICE

Telephone:

(202) 672-5538

Facsimile:

(202) 672-5399

Respectfully submitted,

Michele M. Simkin

Attorney for Applicants

Registration No. 34,717

PTO/SB/08 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

U.G. Faterit and Traderion Cities Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB P Complete if Known Substitute for form 1449B/PTO **Application Number** 10/082,122 INFORMATION DISCLOSURE 02/26/2002 STATEMENT BY APPLICANT Filing Date Philippa RADCLIFFE e First Named Inventor Date Submitted: April 11, 2003 Group Art Unit FRADENA (use as many sheets as necessary) **Examiner Name** Unassigned 078883-0145 of 1 Attorney Docket Number Sheet 1

				U.S. PATENT DOCUMENTS			
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	A2	wo (03/022040	A2	CALIFORNIA INSTITUTE OF TECHNOLOGY	03/20/2003		

		NON PATENT LITERATURE DOCUMENTS	-						
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90	A3	LOIS ET AL., "Germline Transmission and Tissue-Specific Expression of Transgenes Delivered by Lentiviral Vectors," <i>Science</i> (1 February 2002), Vol. 295, pp. 868-872.	:						
1 .	A4	QIN ET AL., "Inhibiting HIV-1 infection in human T cells by lentiviral-mediated delivery of small interfering RNA against CCR5," PNAS (January 7, 2003), Vol. 100, No. 1, pp. 183-188.	:						
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Examiner Signature Der Worland

Date Considered

10/14/00

*EXAMINER Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Unique citation designation number ⁵See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST 3) ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the senal number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁵Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement. This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer. U.S. Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO. Assistant Commissioner for Patents, Washington, D.C. 20231.



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Examiner:

Unassigned "

Art Unit:

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UNDER 37 CFR § 1.56

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JAN 1 6 2003

Atty. Dkt. No. 078883-0145

RELEVANCE OF EACH DOCUMENT

Any document listed on the attached PTO/SB/08 was cited as being relevant during the prosecution of the corresponding United Kingdom application. A copy of the United Kingdom Search Report is attached setting forth the portion of each document considered relevant by the examiner.

Applicants respectfully request that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

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Respectfully submitted,

Michele M. Simkin

Attorney for Applicants Registration No. 34,717

Date _ (1) 16 2005

FOLEY & LARDNER

Customer Number: 22428

Telephone: (202) 672-5538

Facsimile:

(202) 672-5399

PTO/SB/08 (08-00)

JAN 1 6 2003

Appro ed for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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> INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Date Submitted: 01/16/2003

(use as many sheets as necessary)

Sheet

	Complete if Known	
Application Number	10/082,122	
Filing Date	02/26/2002	Τ.
First Named Inventor	Philippa RADCLIFFE	e\a
Group Art Unit	1632	
Examiner Name	Unassigned	
Attorney Docket Number	078883-0145	

				U.S. PATE	NT DOCUMENTS		
Examiner Initials*	Cite No.1	U.S. Patent Number	Kind Code ² (if	Name of Paten	tee or Applicant of Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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	OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS	
Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	Τ ⁶
A4	TARANTAL ET AL., "Lentiviral Vector Gene Transfer into Fetal Rhesus Monkeys (<i>Macaca mulatta</i>) <i>Mol. Ther.</i> (2001), Vol. 4, No. 6, pp. 614-621.	
A5	WOLFGANG ET AL., "Rhesus monkey placental transgene expression after lentiviral gene transfer into preimplantation embryos," <i>Proc. Natl. Acad. Sci. USA</i> (2001), Vol. 98, No. 19, pp. 10728-10732.	•
A6	STEIN ET AL., "In Vivo Treatment of Hemophilia A and Mucopolysaccharidosis Type VII Using Nonprimate Lentiviral Vectors," Mol. Ther. (2001), Vol. 3, pp. 850-856.	•
A7	BERKOWITZ ET AL., "Construction and Molecular Analysis of Gene Transfer Systems Derived from Bovine Immunodeficiency Virus," <i>J. Virol.</i> (2001), Vol. 75, No. 7, pp. 3371-3382.	• .
Ä8	TARANTAL ET AL., "Rhesus Monkey Model for Fetal Gene Transfer: Studies with Retroviral-Based Vector Systems," Mol. Ther. (2001), Vol. 3, No. 2, pp. 128-138.	•
	A4 A5 A6 A7	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published. A4 TARANTAL ET AL., "Lentiviral Vector Gene Transfer into Fetal Rhesus Monkeys (<i>Macaca mulatta</i>) <i>Mol. Ther.</i> (2001), Vol. 4, No. 6, pp. 614-621. A5 WOLFGANG ET AL., "Rhesus monkey placental transgene expression after lentiviral gene transfer into preimplantation embryos," <i>Proc. Natl. Acad. Sci. USA</i> (2001), Vol. 98, No. 19, pp. 10728-10732. A6 STEIN ET AL., "In Vivo Treatment of Hemophilia A and Mucopolysaccharidosis Type VII Using Nonprimate Lentiviral Vectors," <i>Mol. Ther.</i> (2001), Vol. 3, pp. 850-856. A7 BERKOWITZ ET AL., "Construction and Molecular Analysis of Gene Transfer Systems Derived from Bovine Immunodeficiency Virus," <i>J. Virol.</i> (2001), Vol. 75, No. 7, pp. 3371-3382. A8 TARANTAL ET AL., "Rhesus Monkey Model for Fetal Gene Transfer: Studies with Retroviral-Based Vector

Examiner Signature

Date Considered

10/14/04

EXAMINER Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant

Unique citation designation number. See attached Kinds of U.S. Patent Documents. Enter Office that issued the document, by the two-letter code (WIPO Standard ST 3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached

Burden Hour Statement. This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer. U.S. Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO. Assistant Commissioner for Patents, Washington, D.C. 20231.

674523-2024



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Radcliffe et al.

U.S. Serial No.

10/082,122

Filing Date

February 26, 2002

For

TRANSGENIC ORGANISM

Examiner

Unssigned

Art Unit

1632

745 Fifth Avenue New York, NY 10151

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on September 5, 2003.

Thomas J. Kowalski, Reg. No. 32,147

Name of Applicant, Assignee or Regis

September 5, 2003

Date of Signature

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Further to the Information Disclosure Statements filed on October 17, 2002, November 25, 2002, January 16, 2003 and April 1 2003, the Examiner's attention is respectfully directed to the accompanying document listed on form PTO-1449.

To the extent that a petition is necessary for consideration of this Information Disclosure Statement, this paper will serve as such. Further, these documents were first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement.

It is respectfully requested that the Examiner considers and make of record the document cited herewith and that a copy of Form PTO-1449 be initialed by the Examiner and returned to the undersigned.

The filing of this Information Disclosure Statement is not an admission that the document identified herein constitutes prior art to the present application.

As this Information Disclosure Statement is being submitted before receipt of an Office Action, it is believed that no fee is due for the consideration of this document. If, however a fee is due, the Commissioner is authorized to charge any necessary Petition fee, or any additionally required fee, or credit any overpayment, to deposit account 50-0320.

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP

Attorney for Applicants

Thomas/J. Kowalski

Reg. No. 32,147 (212) 588-0800

Encls. - PTO Form 1449 - 2 References

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Michele M. Simkin Attorney for Applicant

Registration No. 34,717

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1	Substitute for	form 1449B	/PTO		Complete if Known	SPE
	INFORMATIO	N DISCLO	SURE	Application Number Application	10/082,122	70 0
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	Data Cubmitted	, Name and a s	25 2002	First Named Inventor	Philippa RADCLIFFE	NOV 2 5 2002 2
	Date Submitted:	november	25, 2002	Group Art Unit	1632	*
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Sheet	1	of	5	Attorney Docket Number	078883-0145	TRADE

						F	DREIGN PATENT DOCUMENTS			
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		A7	wo	98/09985	. į	A2	YEDA RESEARCH AND DEVELOPMENT CO., LTD.	03-12-1998		
		A8	wo	98/15294	/	A1	THE UNIVERSITY OF SHEFFIELD	04-16-1998		
		A9	wo	98/17815	. /	A1	OXFORD BIOMEDICA LIMITED	04-30-1998		
		A10	wo	99/15683	-	A1	OXFORD BIOMEDICA LIMITED	04-01-1999		
		A11 -	wo	99/32646		A1	OXFORD BIOMEDICA LIMITED	07-01-1999	•	
		A12	wo	99/51755		A2	THE SALK INSTITUTE FOR BIOLOGICAL STUDIES	10-14-1999	•	
	[A13	wo	01/79518		A2	OXFORD BIOMEDICA LIMITED	10-02-2001		

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Sheet 3 of 5	Attorney Docket Number	078883-0145	ENT & TRADE

		OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS
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		OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS
Examiner Cit No		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.
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